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Tuesday, 12 March 2024

To: Members of the Audit, Standards and Risk Committee and Appropriate Officers

### **NOTICE OF MEETING**

You are hereby summoned to a meeting of the South Yorkshire Mayoral Combined Authority to be held at **South Yorkshire MCA, 11 Broad Street West, Sheffield, S1 2BQ**, on: **Wednesday, 20 March 2024 at 10.30 am** for the purpose of transacting the business set out in the agenda.



Martin Swales  
**Chief Executive and Head of Paid Service**

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By entering the meeting room, you are consenting to be filmed and to the possible use of those images and sound recordings for webcasting and/or training purposes.

## **Member Distribution**

Councillor Phillip Lofts (Chair)  
David Phillips (Vice-Chair)  
Councillor Ian Auckland  
Councillor Sophie Castledine-Dack  
Councillor Austen White  
Paul Schofield

Barnsley MBC  
Independent Member  
Sheffield City Council  
Rotherham MBC  
City of Doncaster Council  
Independent Member

## Audit, Standards and Risk Committee

Wednesday, 20 March 2024 at 10.30 am

Venue: South Yorkshire MCA, 11 Broad Street West, Sheffield, S1 2BQ



### Agenda

Agenda Ref No	Subject	Lead	Page
<b>Morning Session (approx. 10:30am – 12:00pm)</b>			
1.	Welcome and Apologies	Chair	Verbal
2.	Urgent Items/Announcements	Chair	Verbal
3.	Items to be Considered in the Absence of Public and Press	Chair	Verbal
4.	Declarations of Interest by any Members	Chair	Verbal
5.	Reports from and Questions by Members	Chair	Verbal
6.	Questions from Members of the Public	Chair	Verbal
7.	Minutes of the Previous Meeting held on 13th December 2023	Chair	5 - 16
8.	Health & Safety Update	Sean Rodger	17 - 28
9.	Tram Mobilisation Update	Melanie Corcoran	29 - 38
10.	Bus Reform Update	Melanie Corcoran	39 - 54
11.	PCC Update	Clare Monaghan	Verbal
12.	External Audit Update	EY	Verbal
13.	Update on External Audit Plan 2023/24	KPMG	Verbal
14.	Bus Data Quality Audit Recommendations Update	Tim Taylor	Verbal
15.	Internal Audit Plan Progress Report (including Annual Performance Report)	RSM	55 – 64
16.	Internal Audit Reports <ul style="list-style-type: none"><li>• Adult Education Budget (AEB)</li><li>• Assurance Framework</li></ul>	RSM	65 - 92
17.	Internal Audit Plan 2024/25	RSM	93 - 116

<b>Afternoon Session (approx. 1:00pm – 2:30pm)</b>			
18.	Assurance Framework	Lyndsey Whitaker	117 - 188
19.	2024/25 Treasury Management Strategy	Gareth Sutton	189 - 218
20.	Transport Risk Working Group Update	Paul Schofield	Verbal
21.	Risk Monitoring Report	Claire James	219 - 262
22.	Code of Corporate Governance Review	Claire James	263 - 272
23.	Governance Improvement Plan 2023/24 Update	Claire James	273 - 278
24.	Findings of the Annual Governance Review 2023/24	Claire James	279 - 282
25.	ASRC Draft Annual Report (inc Committee Effectiveness Assessment Outcome)	Claire James	283 - 304
26.	Work Plan 2023/24	Claire James	305 - 310
27.	Breach of Internal Controls Report	Gareth Sutton	Verbal
28.	Issues for Escalation	Chair	Verbal

**Date of next meeting:** Wednesday, 17 July 2024 at 10.00 am

**At:** South Yorkshire MCA, 11 Broad Street West, Sheffield, S1 2BQ